



## **District of Columbia Board of Ethics and Government Accountability BEGA (AGO)**

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### **MISSION**

The Board of Ethics and Government Accountability (BEGA) is responsible for overseeing both the Office of Government Ethics and the Office of Open Government. Oversight of the Office of Government Ethics includes administering and enforcing the District's Code of Conduct.

### **SUMMARY OF SERVICES**

Specifically, BEGA is responsible for:

- Investigating alleged violations of the Code of Conduct, holding adversarial hearings and, where appropriate, issuing sanctions;
- Issuing Advisory Opinions, providing "safe-harbor" for good-faith reliance on these opinions;
- Conducting mandatory ethics training for District government employees;
- Receiving and reviewing public financial disclosure statements from public officials, except Advisory Neighborhood Commissioners;
- Receiving and reviewing confidential financial disclosure statements from Advisory Neighborhood Commissioners;
- Receiving and auditing lobbyist registration forms and lobbyist activity reports;
- Enforcing the Open Meetings Act;
- Monitoring the District's compliance with the Freedom of Information Act; and
- Assisting government agencies in the implementation of open government practices.

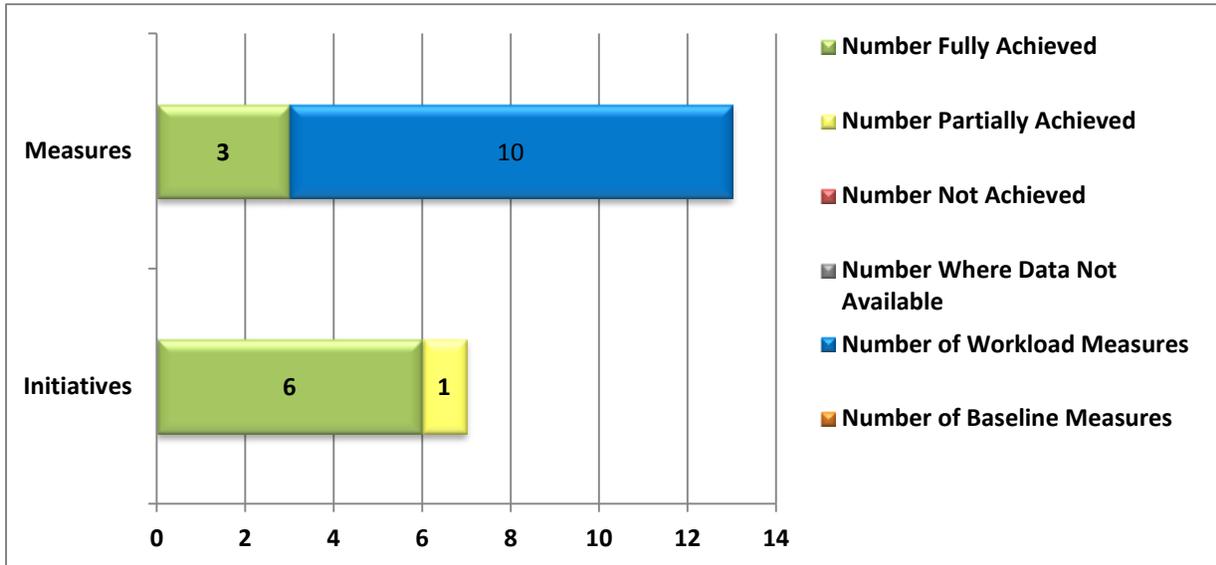
### **ACCOMPLISHMENTS**

- ✓ The Council introduced legislation to address a number of BEGA's recommendations.
- ✓ BEGA successfully met all statutory FDS publication & enforcement requirements.
- ✓ Established mission of OOG and procedures for posting documents required under OMA.

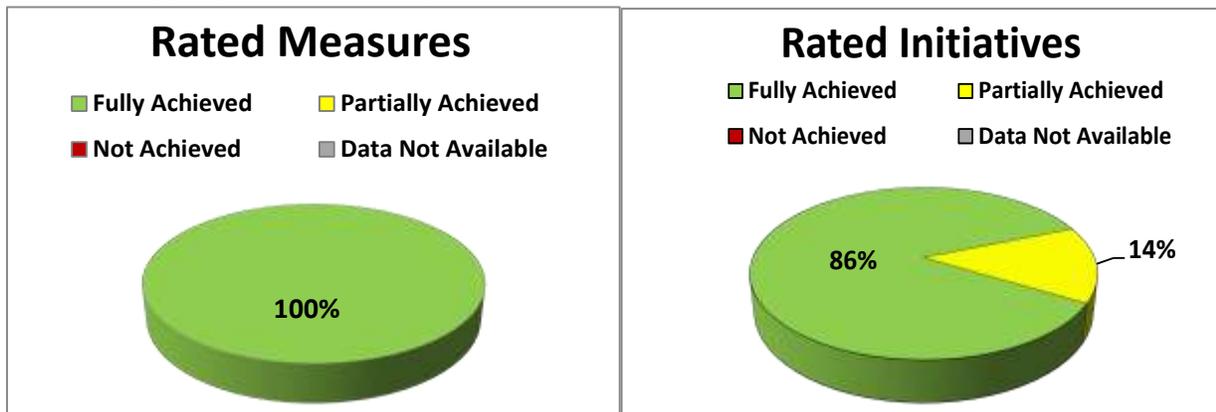


# OVERALL AGENCY PERFORMANCE

## TOTAL MEASURES AND INITIATIVES



## RATED MEASURES AND INITIATIVES



**Note:** Workload and Baseline Measurements are not included

Default KPI Rating:	
>= 100%	Fully Achieved
75 - 99.99%	Partially Achieved
< 75%	Not Achieved



## Performance Indicators – Assessment Details

### Performance Assessment Key:



Fully achieved



Partially achieved



Not achieved



Data not reported

## Agency Management

### **OBJECTIVE 1: Successfully operate the Office of Government Ethics.**

#### **INITIATIVE 1.1: Establish the Office of Government Ethics and hire critical personnel (One City Action Plan Action 3.8.3).**

- This initiative was fully achieved. By the completion date, the Office of Government Ethics was established and fully staffed. It was and is operating and handling all statutorily-mandated functions.

#### **INITIATIVE 1.2: The Director of Government Ethics shall produce and publish online a quarterly report.**

- This initiative was fully achieved. The Office of Government Ethics was required to post online four quarterly reports for FY 2013. The Office of Government Ethics met this requirement and posted online all four quarterly reports in a timely manner, within days of the end of each quarter

#### **INITIATIVE 1.3: Conduct a detailed assessment of national best practices of government ethics law, ethical guidelines, and requirements for employees and public officials.**

- This initiative was fully achieved. BEGA issued its first annual Best Practices Report on April 17, 2013. It addressed all of the required recommendations (#1-7) and included 10 recommendations as part of #8 (any other matter).

#### **INITIATIVE 1.4: Produce ethics training materials, including summary guidelines for all applicable laws and regulations and produce a plain-language ethics guide.**

- This initiative was fully achieved. In FY 2013, BEGA produced a plain-language ethics guide, entitled the Ethics Manual. It has been revised and updated to include changes in the law, including changes to the Hatch Act and financial disclosure statement laws, and other regulations. It is available on the BEGA website. In addition, during FY 2013, BEGA has conducted more than two dozen ethics trainings with District agencies, as well as District Boards and Commissions. The training materials are available on the BEGA website.

#### **INITIATIVE 1.5: Operate a confidential, anonymous telephone hotline to receive information related to violations, administration or enforcement of the Code of Conduct.**

- This initiative was fully achieved. During FY 2013, BEGA established a confidential, anonymous telephone hotline. During each workday, the hotline is staffed by BEGA investigators who respond to caller inquiries. Outside of normal working hours, the hotline telephone receives voice mail messages, to which BEGA investigators respond on the next work day.



**OBJECTIVE 2: Successfully operate the Office of Open Government.**

**INITIATIVE 2.1: Establish the Office of Open Government and hire critical personnel.**

- This initiative was partially achieved. The Director of the Office of Open Government was successfully hired in FY 2013. However, the onboarding of a staff attorney and an IT Specialist had to be deferred until FY 2014 when funding became available.

**INITIATIVE 2.2: Develop policies under which the Office of Open Government will Operate.**

- This initiative was fully achieved. Currently, the Office of Open Government is staffed solely by the Director. The Director of the Office of Open Government established the mission for the office; established procedures for the timely posting of advisory opinions and final dispositions on the BEGA website; and established training protocols for compliance with the Freedom of Information Act and the Open Meetings Act.



## Performance Initiatives – Assessment Details

### Performance Assessment Key:

● Fully achieved  
 ● Partially achieved  
 ● Not achieved  
 ● Data not reported  
 ● Workload Measure  
 ● Baseline Measure

	KPI	Measure Name	FY 2012 YE Actual <sup>1</sup>	FY 2013 YE Target	FY 2013 YE Revised Target	FY 2013 YE Actual	FY 2013 YE Rating	Budget Program
	1.1	Produce and Publish Online Quarterly Reports	NA	4		4	100%	BOARD OF ETHICS
	1.2	Produce and publish Best Practices Report	NA	1		1	100%	BOARD OF ETHICS
	1.3	Hold Monthly Open/Public Board Meeting	NA	12	16	16	100%	BOARD OF ETHICS
	1.4	Number of preliminary investigations opened based on tips to the hotline	NA	Not Applicable		1	Workload Measure Not Rated	BOARD OF ETHICS
	1.5	Preliminary investigations opened based on information provided in meetings/interviews	NA	Not Applicable		5	Workload Measure Not Rated	BOARD OF ETHICS
	1.6	Preliminary investigations opened based on calls other than calls to the hotline	NA	Not Applicable		4	Workload Measure Not Rated	BOARD OF ETHICS
	1.7	Preliminary investigations opened based on documents received, including emails	NA	Not Applicable		27	Workload Measure Not Rated	BOARD OF ETHICS
	1.8	Preliminary investigations opened based on media reports/sources	NA	Not Applicable		7	Workload Measure Not Rated	BOARD OF ETHICS
	1.9	Number of preliminary investigations of a possible violation of the Code of Conduct initiated	NA	Not Applicable		43	Workload Measure Not Rated	BOARD OF ETHICS
	1.1	Number of formal investigations initiated	NA	Not Applicable		18	Workload Measure Not Rated	BOARD OF ETHICS
	1.11	Number of preliminary investigations dismissed	NA	Not Applicable		27	Workload Measure Not Rated	BOARD OF ETHICS
	1.12	Number of formal investigations completed	NA	Not Applicable		10	Workload Measure Not Rated	BOARD OF ETHICS
	1.13	Number of formal advisory opinions issued	NA	Not Applicable		25	Workload Measure Not Rated	BOARD OF ETHICS

<sup>1</sup> BEGA is a new government entity, which began operating in FY13. Therefore, the agency does not have historical data (NA) for prior fiscal years.

